ATTORNEY DOCKET NO.: EMC03-05(02146)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jonathan J. Barrow

Serial No.:

10/608,618

For:

SYSTEMS AND METHODS FOR CONNECTING AN ELECTRONIC

APPARATUS TO A BACKPLANE

Filing Date: June 27, 2003

Examiner: Art Unit:

Unassigned

Conf. No.:

2835 8453

#### Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450. Alexandria, Virginia 22313-1450 on:

Date: January 21, 2005	By: Mary A. Maietta
	(Typed or printed name of person mailing Document, whose signature appears below)

Signature: Merry (1. Maretta

#### MAIL STOP AMENDMENT

**Commissioner for Patents** PO Box 1450 Alexandria, Virginia 22313-1450

## TRANSMITTAL LETTER

Sir:

Enclosed is/are:

- Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4; [X]
- [x] Information Disclosure Statement, Total Pages: 3:
- [X] PTO-1449 Form, Total Pages: 1;
- A Copy of the cited references are enclosed except for those which qualify under [X] 37 CFR 1.98(a)(2)(i), Total Hard Copy(ies) Provided: 6;
- Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2; [X]
- Authorization to charge Deposit Account No. 50-0901, if required.

U.S. Application No.: 10/608,618 Attorney Docket No.: EMC03-05(02146)

- 2 -

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

David E. Huang, Esq.

U.S.P.T.O. Registration No.: 39,229

Attorney for Applicant CHAPIN & HUANG, L.L.C. Westborough Office Park 1700 West Park Drive

Westborough, Massachusetts 01581

Telephone: (508) 366-9600 Facsimile: (508) 616-9805

Attorney Docket No.: EMC03-05(02146)

Dated: January 21, 2005

ATTORNEY DOCKET NO.: EMC03-05(02146)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jonathan J. Barrow

Serial No.:

10/608,618

For:

SYSTEMS AND METHODS FOR CONNECTING AN ELECTRONIC

APPARATUS TO A BACKPLANE

Filing Date:

June 27, 2003

Examiner:

Unassigned

Art Unit:

2835

Conf. No.:

8453

### Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: <u>January</u> 21, 2005

By: Mary A. Maietta

(Typed or printed name of person mailing Document, whose signature appears below)

Signature: Mary a. Maretta

MAIL STOP AMENDMENT

**Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);

under 37 CFR 1.97(b), or (If IDS Filed within three months of filing [X] national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);

- 2 -

[]	under 37 CFR 1.97(c) together with either:					
	[ ] a Statement under 37 CFR 1.97(e), as checked below, or					
	[ ] a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)					
[]	under 37 CFR 1.97(d) together with:					
	[ ] a Statement under 37 CFR 1.97(e), as checked below, and					
	[ ] a Petition under 37 CFR 1.97(d)(2), and					
	[ ] a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)					
	[ ] Applicant requests that the attached IDS and cited reference(s) be placed in the application filewrapper. (If IDS filed after payment of issue fee)					
State	ment Under 37 CFR 1.97(e)					
[]	Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or					
[]	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.					
Enclo	sed herewith is Form PTO-1449:					
	[X] Copy of the cited references are enclosed except for those which qualify under 37 CFR 1.98(a)(2)(i).					
	[ ] Copy of cited references are enclosed except those entered in a prior application, having U.S. Serial No, and references that are not required to be submitted under 37 CFR 1.98.					
	[ ] The listed references were cited in the enclosed International Search Report in a counterpart foreign application.					

U.S. /	Application No.: <u>10/608,618</u>	Attorney Docket No.: EMC03-05(02146)					
[]	- 3 - Pursuant to the Official Gazette Notice of August 5, 2003 the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication is waived for all U.S. national patent applications filed after June 30, 2003.						
Conci	ise Explanation Requirement (requi	red for non-English references):					
	[ ] The "concise explanation" requirement for reference(s) under 37 CFR 1.98(a)(3) is satisfied by:     [ ] the explanation provided on the attached sheet.     [ ] the explanation provided in the Specification.     [ ] submission of the enclosed International Search Report.     [ ] the enclosed English language abstract.						
lt is re applic	equested that the information disclo	sed herein be made of record in this					
Metho	od of payment:						
[X]	No fee is required for this Informat	ion Disclosure Statement.					
[]	Enclosed is a check for \$180.00, v	which covers the Information Disclosure					
[]	A copy of this Statement is enclose	ed.					

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

Respectfully submitted.

David E. Huang, Esq.

U.S.P.T.O. Registration No.: 39,229

Attorney for Applicant CHAPIN & HUANG, L.L.C. Westborough Office Park 1700 West Park Drive

Westborough, Massachusetts 01581

Telephone: (508) 366-9600 Facsimile: (508) 616-9805

Attorney Docket No.: EMC03-05(02146)

Dated: January 21, 2005

PTO-144	9 REFRO	DDUCED		ATTORNEY DOCKET NO.	APPLICA	APPLICATION SERIAL NO.			
A. S.	INFORMATION DISCLOSURE CITATION IN AN APPLICATION			EMC03-05(02146)		10/608,618			
for the	(Use	several sheets if nece	ssary)						
			APPLICANT I Domestic						
120	\$ 8 30)			Jonathan J. Barrow  FILING DATE GROUP					
3.				June 27, 2003	2835				
EXAM-	tt stjer	·	U.S.	PATENT DOCUMENTS					
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING IF APPROPR		
	AA	US 6,430,052 B1	08/06/02	Kordes, et al.	361	719	05/08	/01	
	AB	US 6,482,042 B1	11/19/02	Tupper	439	638	08/29/01		
	AC	US 6,509,752 B1	01/21/03	O'Keeffe, et al.	324	754	03/05	/01	
	AD	US 6,623,177 B1	09/23/03	Chilton	385	88	07/09/01		
	AE	US 6,728,104 B1	04/27/04	Ahmad, et al.	361	704	10/23/02		
	AF	US 6,773,269 B1	08/10/04	Downes	439	75	09/27/02		
								-	
			FOREIG	N PATENT DOCUMENTS			<u>L.</u>		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS TRANSLATION		- 15	
		Joseph Monday	<i>D</i> 1113	COUNTRY	CLASS		YES	МО	
·									
	I	OTHER DOCUMENTS	(Including Au	 thor, Title, Date, Pertir	ent Pages	, Etc.)	<u> </u>		
					,				
			<del></del>						
							·		
EXAMIN	ER			DATE CONSIDERED					